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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**



Declaration  
Submitted  
with Initial  
Filing

OR



Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

**Attorney Docket Number**

50243-0001

**First Named Inventor**

Phillips et al.

**COMPLETE IF KNOWN**

Application Number

Filing Date

Art Unit

Examiner Name

**As the below named inventor, I hereby declare that:**

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SURGICAL RETRACTOR WITH IMPROVED ARMS**

*(Title of the Invention)*

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
None			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

PTO/SB/01 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to: <input checked="" type="checkbox"/>		Customer Number or Bar Code Label	03490	OR <input type="checkbox"/>	Correspondence address below
Stephen J. Stark					
Name					
Miller & Martin LLP					
Address Suite 1000 Volunteer Building; 832 Georgia Avenue					
Chattanooga		Tennessee		37402-2289	
City		State		ZIP	
USA		(423) 756-6600		(423) 785-8480	
Country		Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name Burns.		Family Name Phillips			
(first and middle (if any))		or Surname			
Inventor's Signature		<i>Burns P. Phillips</i>		Date 6/30/03	
Nashville		Tennessee		USA	
Residence: City		State		Country	
1838 Elm Hill Pike		37210		USA	
Suite 119		ZIP		Country	
Mailing Address		Tennessee		37210	
Nashville		State		ZIP	
City		Country		Citizenship	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name Larry		Family Name Griffith			
(first and middle (if any))		or Surname			
Inventor's Signature				Date	
Lakeville		Minnesota		USA	
Residence: City		State		Country	
17637 Kettering Trail		55044		USA	
Mailing Address		ZIP		Country	
Lakeville		Minnesota		55044	
City		State		ZIP	
Country		Citizenship			
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

PTO/SB/01 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

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City		State		ZIP	
USA		(423) 756-6600		(423) 785-8480	
Country		Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name Burns		Family Name Phillips			
(first and middle [if any])		or Surname			
Inventor's Signature				Date	
Nashville		Tennessee		USA	
Residence: City		State		Country	
1838 Elm Hill Pike		Suite 119			
Mailing Address		Nashville		Tennessee	
City		State		ZIP	
37210		USA		Country	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name Larry		Family Name Griffith			
(first and middle [if any])		or Surname			
Inventor's Signature				Date	
Lakeville		Minnesota		USA	
Residence: City		State		Country	
17637 Kettering Trail		Mailing Address			
Lakeville		Minnesota		55044	
City		State		ZIP	
USA		Country			
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

PTO/SB/81 (05-03)

Approved for use through 11/30/2005. OMB 0651-0035

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**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

Application Number	N/A
Filing Date	Herewith
First Named Inventor	Phillips et al.
Title	Surgical Retractor with Improved Arms
Art Unit	
Examiner Name	
Attorney Docket Number	50243-0001

I hereby appoint:

☒ Practitioners at Customer Number

03490

Place Customer  
Number Bar Code  
Label here

OR

☒ Practitioner(s) named below:

Name	Registration Number
Alan Ruderman	25,369
Douglas T. Johnson	31,841
Stephen J. Stark	43,152

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☒ Practitioners at Customer Number.

03490

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Number Bar Code  
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OR

<input checked="" type="checkbox"/> Firm or Individual Name	Stephen J. Stark Miller & Martin LLP		
Address	Suite 1000 Volunteer Building		
Address	832 Georgia Avenue		
City	Chattanooga	State	Tennessee Zip 37402-2289
Country	USA		
Telephone	(423) 756-6600	Fax	(423) 785-8480

I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Larry Griffith		
Signature	<i>[Signature]</i>		
Date	6/30/03	Telephone	952-892-1070

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/81 (05-03)

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AUTHORIZATION OF AGENT**

Application Number	N/A
Filing Date	Herewith
First Named Inventor	Phillips et al.
Title	Surgical Retractor with Improved Arms
Art Unit	
Examiner Name	
Attorney Docket Number	50243-0001

I hereby appoint:

☒ Practitioners at Customer Number

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Name	Registration Number
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OR

☒ Practitioners at Customer Number.

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<input checked="" type="checkbox"/> Firm or Individual Name	Stephen J. Stark Miller & Martin LLP				
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I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name	Burns Phillips		
Signature	<i>Burns Phillips</i>		
Date	June 30, 2003	Telephone	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of 2 forms are submitted.

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